

LINE OF BUSINESS: Financial Guaranty

LINE(S) OF INSURANCE  
Financial Guaranty

CODES  
10.0000

Code: 10.0000

IF CHECKLIST IS NOT APPLICABLE, PLEASE EXPLAIN:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
GENERAL REQUIREMENTS FOR ALL FILINGS			
COPIES, RETURN ENVELOPES, ETC			
COVER LETTER			
FILING SUBMISSION			
EFFECTIVE DATE WORDING			
FREE CONTRACT PROHIBITED			
LIMITATIONS/RESTRICTIONS ON TRASANCTING BUSINESS			
LINE OF AUTHORITY			
NAIC #			
NO FILE OR FILING EXEMPTIONS			
THIRD PARTY FILERS AUTHORITY			
TRANSACTIONING OTHER BUSINESS			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
FORMS <sup>3/4</sup> POLICY PROVISIONS			
ACCESS TO COURTS			
AMBIGUOUS & MISLEADING			
ARBITRATION			
BANKRUPTCY PROVISIONS			
BLANK ENDORSEMENTS			
CANCELLATION & NON-RENEWAL			
CONSUMER INFORMATION			
Credit Scoring Notice			
Privacy notice			
CONTENT OF POLICIES			
COUNTERSIGNATURES			
DECLARATIONS PAGE			
EXCLUSIONS & LIMITATIONS			
Terrorism			
FORMS MISCELLANEOUS			
FRAUD WARNING			
PAYMENT OF LOSS TIME PERIOD			
Appraisal			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
<b>FORMS<sup>3/4</sup> POLICY PROVISIONS</b>			
POLICY MUST CONTAIN ENTIRE CONTRACT			
PUNITIVE DAMAGES			
REBATES			
SUBROGATION			
VOIDANCE			
WARRANTIES			
OTHER			
<b>RATE, RULE, RATING PLAN, CLASSIFICATION, AND TERRITORY FILING REQUIREMENTS</b>			
ACCESS TO COURTS			
INDIVIDUAL RISK RATING			
RATE RANGES			
RATING PLAN REQUIREMENTS			
RATE/LOSS COST SUPPORTING INFORMATION			
Competition			
Expenses			
Experience			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
RATE, RULE, RATING PLAN, CLASSIFICATION, AND TERRITORY FILING REQUIREMENTS			
Judgment			
Credibility AND OTHER FACTORS			
Profit Loading			
RETURN ON EQUITY /INVESTMENT INCOME			
TRENDING AND FACTORS			
OTHER			